

#### **GOVERNMENT OF INDIA**

#### OFFICE OF THE DIRECTOR GENERAL OF CIVIL AVIATION

TECHINICAL CENTRE, OPPOSITE SAFDARJUNG AIRPORT, NEW DELHI

CIVIL AVIATION REQUIREMENT SECTION 11 – SAFE TRANSPORT OF DANGEROUS GOODS SERIES 'E ' PART I ISSUE I, \_\_ JULY 2017

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**EFFECTIVE: FORTHWITH** 

Subject: Grant of Approval to Carry Dangerous Goods by Air.

#### 1. INTRODUCTION

- 1.1 Dangerous goods are articles or substances which are capable of posing a risk to health, safety, property or the environment. In order to ensure safe transport of dangerous goods by air, ICAO has laid down the standards in Annex 18 and also issued Technical Instructions for the Safe Transport of Dangerous Goods by Air. For giving effect to these provisions in India, the Aircraft (Carriage of Dangerous Goods) Rules, 2003, have been framed.
- 1.2 Rule 3 of the Aircraft (Carriage of Dangerous Goods) Rules, 2003, provides that
  - "(1) no operator shall engage in the carriage of dangerous goods unless it has been certified by the aeronautical authority of the State of the operator to carry the dangerous goods".
  - (2) No operator shall carry and no person shall cause or permit to be carried in any aircraft to, from, within or over India or deliver or cause to be delivered

for loading on such aircraft any dangerous goods, except in accordance with and subject to the requirements specified in the Technical Instructions".

1.4 This Civil Aviation Requirement (CAR) lays down the requirements and procedure

for grant of approval to an operator to carry dangerous goods by air.

1.5 This CAR is issued under rule 133A of the Aircraft Rules, 1937.

2. APPLICABILITY

2.1 This CAR is applicable to all air operator seeking approval to carry dangerous

goods by air to/from/within India.

3. APPLICATION FOR APPROVAL

The applicant shall apply as per proforma given at Appendix 'A' alongwith a 3.1

draft Dangerous Goods manual and Appendix 'B' (duly completed) at least two months

in advance to enable the acceptance of Dangerous Goods manual by DGCA and

inspection before the approval issued by DGCA.

3.2 The application alongwith draft Dangerous Goods Manual shall be sent to:

**Director General of Civil Aviation** 

Opposite Safdarjung Airport, Aurbindo Marg

New Delhi-110003

Kind Attn: Director (Regulation & Information)

3.3 The operator shall appoint a designated person responsible for ensuring

compliance of regulatory requirements and procedures as contained in the Company's

Dangerous Goods manual. He shall be responsible for ensuring that the manual is

kept updated and changes there to are made with acceptance of DGCA.

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#### 3.4 Dangerous Good Manual

Dangerous Goods Manual shall include procedures and guidelines for carriage of Dangerous Goods by air (as per the ICAO Annex 18 and ICAO Technical Instructions) broadly covering the following:

- (i) Dangerous Goods Carriage Policy and Procedures
- (ii) Operator responsibilities
- (iii) Training Programme
- (iv) Provisions for Passengers and crew
- (v) Emergency response
- (vi) Provisions for Information
- (vii) Accidents/incident -reporting
- (viii) Retention of records

Note I: The Dangerous Goods Manual should address all items as given in Appendix 'B' (as applicable) to this CAR.

Note II: Provisions of CAR Section 8 Series 'O' Part II should be complied within the Dangerous Goods Manual.

- 4. Based on review of application, acceptance of Dangerous Goods manual and satisfactory inspection(s) of facilities, DGCA may grant approval to the applicant to carry Dangerous Goods by air.
- 5. DGCA may withdraw the approval, if at any point of time, the DGCA finds that the operator did not adhere to the requirements and procedures in respect of Carriage of Dangerous Goods by air.

(B. S. Bhullar) Director General of Civil Aviation

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## **APPENDIX - A**

# APPLICATION FORMAT FOR SEEKING APPROVAL FOR CARRIAGE OF DANGEROUS GOODS

1.	Details of Applicant
1.1	Name of Applicant/ Organization
1.2	Address
1.3	Nationality
1.4	Mobile No
1.5	Email address
2.	Name of Nodal Person & Contact details
3.	Draft Dangerous Goods Manual
4.	Any other relevant Information
5.	I hereby certify that the foregoing information is correct in every respect and
no rel	evant information has been withheld.
	SIGNATURE OF APPLICANT
DA	NAME(in capital letters)
	POSITION HELD
	(with official seal)

## **APPENDIX B**

# <u>Checklist For The Grant of Approval For</u> <u>The Carriage of Dangerous Goods By Air</u>

Name of the Operator	
Station/Location	
Type of Operator	<ul><li>○ Passenger</li><li>○ Cargo</li></ul>
	O Passenger and Cargo

SR.				To be Provided/Fille d by the		For Off	icial Use	Only
NO	ISSUE	ICAO TI REF	IATA DGR REF	applicant DGR Operational Manual Reference	YES	NO	N/A	Sat/Un-Sat
1	Established procedures for handling of dangerous goods and has assigned a designated a person	1.2 7.1						
	Check: Operators relevant manuals  Verify use and adequacy of the procedures and checklists							
2	The Dangerous Goods Regulations Training of the Operator has been approved by DGCA	1.4.1 Aircraft Rules	1.5.1					
3	Check: Validity of the approval  If the operator avails the services of a third party for providing Dangerous Goods Regulations Training such training programme is approved by DGCA.  Check: Validity of the grant of approval to third party for conducting Dangerous Goods Training	1.4.1 Aircraft Rules	1.5.1					
4	System of maintaining applicable Standard Operating Procedures and current Manuals based on the current Edition of ICAO TI/IATA DGR Manual related to carriage of dangerous goods in the areas where dangerous goods are handled	7.4.2						
	Check: Current edition of Technical Instructions or IATA DGR or Equivalent DGOM containing all ICAO TI/IATA references							
	Operator's Manuals on Cargo Operations/Flight Operations/Cabin Crew SEP/ Passenger Services							

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	Whether Cargo and Other Operations Staff have been provided with the information					
5	If the Operator is availing the services of handling agents, the Operator has a system of providing all information related to carriage of dangerous goods to the handling agents	7.4.2				
	<b>Check</b> : Manuals and other Instructions have been provided					
6	Established a system of maintaining training records that meets the requirements of the Regulations	1.4.1 Table 1.4 1.4.2.4	1.5.5			
	Check: Training Manual for requirements regarding maintenance of training records	7.4.10				
7	Established appropriate Emergency Management System required to meet any emergencies arising during ground operations (spill or leak of dangerous goods etc.,)  Check: Relevant documentation e.g. emergency drill chart etc.	7.4.8	9.5.1.2			
	Check physical availability of arrangements					
8	Ground Emergency Drill Information is adequately displayed in all areas where dangerous goods are handled  Check: Onsite review of Physical availability of Drill information	7.4.8	9.5.1.2			
9	System for appropriate spill cleaning as a part of ground operations management		9.4.1			
	Check: Documentation					
10	System of providing Emergency Response Information on board the aircraft Check	7.4.9	9.5.1.3			

	The availability of information					
11	Established system of reporting incidents/accidents related to carriage of dangerous goods including undeclared/ mis-declared dangerous goods	7.4.4 7.4.5	9.6.1 9.6.2			
	Check:  Documentation that details the procedures  Verify the arrangements if the operator engages the services of handling agents					
12	System of immediately notifying DGCA about the aircraft incident/accident arising due to dangerous goods on-board an aircraft	7.4.6	9.6.4			
13	System of maintaining records related to Carriage of Dangerous Goods for the minimum period of three months  Check:  Onsite review	7.4.11	9.8			
14	An appropriate disposal system for unclaimed and or undelivered dangerous goods  Check:  Documentation that details procedures  Documentation that confirms the compliance	7.3.1	9.3.6.3			
15	The Operator ensure to comply with the regulations for transportation of Company Material (COMAT) that contain.  Check:  Documentation that confirms compliance		1.4 (Notes)			
16	The Operator has deployed appropriately trained personnel for acceptance of dangerous goods.  Check: Compare the training record	1.4.1 Table 1-4	1.5.A 9.1.1.1			
	to confirm that appropriately					

	trained personnel are available at all times, when dangerous goods are handled.					
17	In the cargo acceptance area, appropriate placards providing information about dangerous goods are made available.	7.4.8	9.5.3			
	Check:  ➤ Physical availability  ➤ Pictorial information in addition to written information					
19	Established a Dangerous Goods acceptance Checklist, confirm that the checklist covers all areas of acceptance procedures as is otherwise detailed in IATA Checklist Check:  Provide a specimen	7.1.3	9.1.3			
20	The dangerous goods acceptance personnel have easy and ready access to current edition of the reference materials for handling of dangerous goods.  Check:  Technical Instructions and or IATA DGR or Equivalent Document  Operator's Cargo Operations Manual/ DG Manual		1.2.3	Sec-11 Series- E Part-I		
21	The Operator has a system of maintaining records of shipments that have been rejected on the basis of dangerous goods acceptance checklist.  Check:  Documentation of procedures Physical evidences to conform compliance			Sec-11 Series- E Part-I		
22	A system of ensuring that the dangerous goods packages that were opened by customs and or other regulatory/law enforcement authorities are repacked by appropriately trained and qualified personnel Check:		1.2.10			

	Documentation for					
	Procedures Physical					
	arrangements					
23	System for storage of dangerous	7.2.1	9.2			
	goods in the warehouse.		9.3.2			
	Check:					
	Placarded to show that					
	the place is designated					
	for storage of dangerous					
	goods;					
	Easy accessibility to fire					
	tenders;					
24	Availability of required firefighting					
	equipment's and Compatibility					
	Chart is prominently displayed to					
	facilitate proper storage	7-2	9.3.A			
	<ul> <li>"NO SMOKING" signage is</li> </ul>	, <u> </u>				
	prominently displayed;					
	<ul> <li>First-Aid box is available in</li> </ul>					
	the warehouse;					
	First-Aid and Emergency     Information is prominently.				2	
	Information is prominently					
25	displayed.	7.2.0	10021			
25	System for storage of radioactive	7.2.9	10.9.2.1			
	material(if applicable)					
	Check:					
	➤ That it is not located in		400242			
	highly populated		10.9.2.1.2			
	operational areas;		400040			
	Appropriate placards that		10.9.2.1.3			
	indicate that the place is					
	designated for storage of					
	radioactive material and					
	confirm that the placards					
	contain Trefoil Symbol					
26	A system of carrying out	7.2.4	9.4			
	inspection of packages	7.2.5				
	containing dangerous goods before preparing the goods for					
	dispatch arrange for removal					
	such packages.					
	Check:					
	Documentation of the					
	procedures					
27	A system of providing special Unit	7.2.4	9.3.8			
	Load Devices (ULD) identification					
	tags for ULDs that containing	7.2.8				
	dangerous goods(if applicable)					
	Check:					
	<ul><li>Documentation of the</li></ul>					
	procedures					
	procedures			<u> </u>		

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	Physical availability of ULD					
	tags that meets the					
	requirements					
	Observe whether the Staff					
	responsible could prepare					
	the tag correctly					
28	A system of replacing the missing	7.2.4	9.3.7			
	hazard and handling labels before	7.2.7				
	the goods are dispatched.					
	Check:					
	Documentation of the					
	procedures					
	Physical availability of					
	hazard and handling labels					
	that meets the					
	requirements					
29	A system of inspecting the	7.3.1	9.3.6			
	packages, ULDs containing	7.3.2	3.3.0			
	dangerous goods prior to loading on					
	to an aircraft and to ensure that					
	such packages are not loaded onto					
	an aircraft					
	Check					
	> Documentation of					
	procedures					
30	A system of inspecting the loading,	7.3.1	9.3.2			
	segregation and inspection for	2.2.1	Table 9.3.A			
	damage or leakage of packages	Table	9.4.1			
	containing dangerous goods.	7-2	3.4.1			
	Check:	, -				
	> Documentation of					
	procedures					
31	A system to prevent acceptance of	5.3.1	7.1			
31	dangerous goods for transport by	6.2.1	7.1			
	air unless they are accompanied by	0.2.1				
	a completed dangerous goods					
	documents and inspection of					
	marking, labeling, package,					
	overpack or freight container in					
	-					
	accordance with the acceptance procedure contained in Technical					
	Instructions/IATA DGR manual					
	Check:					
	> Documentation of					
	procedure					
	procedure					
32	Where leakage or spill is found in	7.3.2	9.4.1			
32	the cargo compartments, the	7.3.2	7.4.1			
	Operator has a system of ensuring					
	that the subject aircraft is not					
	· · · · · · · · · · · · · · · · · · ·					
	deployed unless the spill and clean					

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	operation has been carried out  Check:  ➤ Documentation of procedures						
	procedures						
33	System of carriage of maximum	7.4.2					
	quantities of carbon-dioxide, solid that can be loaded in a cargo	7.4.3					
	compartment	7.1.5					
	Check:						
	Documentation of the						
	permitted quantities						
	Quantity limits for different						
	types/versions of aircraft operated by the operator						
	<ul><li>Quantity limits permitted</li></ul>						
	when dry ice is used as						
	refrigerant material for						
	food items that are carried						
	in the service galley area in						
34	the main cabin  Maximum Transport Index of	7.4.2					
34	radioactive material that can be	7.4.2					
	carried in an aircraft(if applicable)						
	Check:						
	Documentation of the						
	permitted quantities						
35	Operator has established maximum	7.2.9	9.5.2				
33	quantities of dangerous goods	7.2.9	9.5.2				
	(other than for radioactive material						
	and carbon-dioxide, solid) that can						
	be loaded in a cargo compartment/						
	aircraft, such information is easily						
	accessible to the personnel who						
	are involved in loading of such goods.(if applicable)						
	Check:						
	Documentation of						
	procedures						
36	A system to secure packages	7.2.4.2	9.3.5				
30	containing dangerous goods	7.2.4.2	7.5.5				
	Check:						
	Documentation of						
	procedures						
	Operator holds required						
	securing material that are easily available for the						
	personnel responsible for						
	securing of goods						
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	Verify by observation (if					
	, ,					
	possible) correct loading of					
	dangerous goods					
37	In case of 'COMBI' aircraft and	7.2.1				
	where the mail deck cargo hold is					
	not at least of Class B, the					
	Operator has established system					
	and procedures based on					
	exceptions or approvals or					
	prohibitions and the such					
	procedures have been made					
	available to all personnel including					
	handling agents(if applicable)					
20		7.2.4.1	9.3.4			
38	For operators having all cargo	7.2.4.1	9.3.4			
	aircraft operation, the Operator					
	has a system of providing access					
	facilities to the flight crew					
	members when packages bearing					
	'Cargo Aircraft Only' label that					
	need to be loaded in accessible					
	compartment/positions(if					
	applicable)					
	Check:					
	Documentation of					
	procedures					
39	System of providing Notice to the	7.4.1	9.5			
	Pilot- in- Command detailing	7	3.3			
	information related to dangerous					
	goods that were placed on board					
	Check:					
	> Documentation of the					
	procedures					
	NOTOC contains all					
	information required and					
	detailed in Technical					
	Instructions					
	Retention policy of NOTOC					
40	System of ensuring that the	7.4.1	9.5			
	information provided in the NOTOC	7.4.1.5				
	is available on ground at points of					
	departure and scheduled arrival for					
	duration of flight					
	Check:					
	> Documentation of the					
	procedures					
	<ul><li>Check the accessibility</li></ul>					
41	The Operator has a system of	7.4.3	9.5.1.3			
41	· · · · · · · · · · · · · · · · · · ·	7.4.5	9.5.1.5			
	notification by pilot-in-command to					
	air traffic services in the event of an					
	in-flight emergency					
	Check					

	The Decomposite tion for					
	The Documentation for procedures					
42	System of providing information to	7.5.1	9.5.2			
72	passengers about dangerous goods	7.5.1	3.3.2			
	that are not permitted in	8.1.1	2.3.A			
	passenger's baggage					
	Check:					
	Documentation for					
	procedures;					
	Placards are available at					
	the check-in area					
43	Custom for dealing with and	7.4.5	2.3			
43	System for dealing with and reporting of passengers with	7.4.5	2.5			
	dangerous goods that are not					
	permitted (including liaison with					
	security staff)					
	Check					
	The inter and intra arrangements					
	between various related agencies					
	and verify the adequacy of such					
	arrangements					
44	Appropriately trained personnel for	1.4.1	1.5			
	passenger and their baggage	Table	1.5.A			
	acceptance process	1-4				
	Check:					
	Training records and duty roster	1 4 2 2	1502			
	<ul><li>Validity of the certification</li></ul>	1.4.2.2	1.5.0.3			
45	System of seeking confirmation	7.5.2.2	9.5.2			
'	from the passengers that their	7.5.2.2	3.3.2			
	baggage does not contain					
	dangerous goods that are not					
	permitted in passenger baggage					
	Check:					
	Documentation of					
	procedures					
	> Observe check-in process					
46	Systems and procedures for	8.1.1	2.3			
	acceptance and loading of medical	Table	2.3.A			
	aid for a patient including provision	8-1				
	of information to the handling staff.  Check:					
	> Documentation of the					
	procedures and also check					
	with staff					
47	Wheel chairs that are powered with	8.1.1	2.3			
	spillable batteries, the Operator has	Table	2.3.A			
	a system of ensuring that such	8-1	9.3.14			
	batteries are packed meeting the					
			· · · · · · · · · · · · · · · · · · ·	 	 	

1	regulations.					
	Check:					
	<ul><li>Documentation of policies</li></ul>					
	related to acceptance of					
	wheel chairs					
48	System of ensuring that such wheel	8.1.1	2.3			
	chairs powered with sailable	Table	2.3.A			
	batteries are loaded and stowed as	8-1	9.3.14			
	laid down in the Regulations					
	Check					
	Documentation of the					
	processes					
	<ul><li>Notification to the Pilot-in-</li></ul>					
	Command					
	Check with staff responsible					
49	Systems to provide approval for	8.1.1	2.3			
	such dangerous goods in passenger	Table	2.3.A			
	baggage that need Operator's	8-1				
	approval as detailed in the	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \				
	Regulations (E.g Dry ice in checked					
	baggage, oxygen for medical use					
	etc.,)					
	Check:					
	Documentation of					
	procedures					
	The Organization developing	1.4.1	1.5			
50	The Operator deploys appropriately trained personnel as	Table	1.5 1.5.A			
	Load Planners	1-4	1.5.A			
	Check:	1-4				
i l	Compare training records					
	Compare training records and duty roster	1.4.2.2	1.5.0.3			
	and duty roster	1.4.2.2	1.5.0.3			
	and duty roster  Validation of the	1.4.2.2	1.5.0.3			
	and duty roster	1.4.2.2	1.5.0.3			
51	<ul><li>and duty roster</li><li>Validation of the certification</li></ul>	1.4.2.2	1.5.0.3			
51	<ul><li>and duty roster</li><li>Validation of the certification</li></ul>					
51	and duty roster  Validation of the certification  The Operator deploys appropriately trained personnel for screening passengers baggage and	1.4.1	1.5			
51	and duty roster  Validation of the certification  The Operator deploys appropriately trained personnel for screening passengers baggage and their baggage(if applicable)	1.4.1 Table	1.5			
51	and duty roster  Validation of the certification  The Operator deploys appropriately trained personnel for screening passengers baggage and their baggage(if applicable)  Check:	1.4.1 Table	1.5			
51	and duty roster  Validation of the certification  The Operator deploys appropriately trained personnel for screening passengers baggage and their baggage(if applicable)  Check:  Compare training records	1.4.1 Table 1-4	1.5 1.5.A			
51	and duty roster  Validation of the certification  The Operator deploys appropriately trained personnel for screening passengers baggage and their baggage(if applicable)  Check:  Compare training records and duty roster	1.4.1 Table	1.5			
51	and duty roster  Validation of the certification  The Operator deploys appropriately trained personnel for screening passengers baggage and their baggage(if applicable)  Check:  Compare training records and duty roster  Validation of the	1.4.1 Table 1-4	1.5 1.5.A			
51	and duty roster  Validation of the certification  The Operator deploys appropriately trained personnel for screening passengers baggage and their baggage(if applicable)  Check:  Compare training records and duty roster  Validation of the certification	1.4.1 Table 1-4	1.5 1.5.A			
	and duty roster  Validation of the certification  The Operator deploys appropriately trained personnel for screening passengers baggage and their baggage(if applicable)  Check:  Compare training records and duty roster  Validation of the certification	1.4.1 Table 1-4	1.5 1.5.A			
51	and duty roster  Validation of the certification  The Operator deploys appropriately trained personnel for screening passengers baggage and their baggage(if applicable)  Check:  Compare training records and duty roster  Validation of the certification  Appropriately trained personnel for	1.4.1 Table 1-4 1.4.2.2	1.5 1.5.A 1.5.0.3			
	and duty roster  Validation of the certification  The Operator deploys appropriately trained personnel for screening passengers baggage and their baggage(if applicable)  Check:  Compare training records and duty roster  Validation of the certification  Appropriately trained personnel for loading/unloading operations	1.4.1 Table 1-4 1.4.2.2	1.5 1.5.A			
	and duty roster  Validation of the certification  The Operator deploys appropriately trained personnel for screening passengers baggage and their baggage(if applicable)  Check:  Compare training records and duty roster  Validation of the certification  Appropriately trained personnel for loading/unloading operations  Check:	1.4.1 Table 1-4 1.4.2.2	1.5 1.5.A 1.5.0.3			
	and duty roster  Validation of the certification  The Operator deploys appropriately trained personnel for screening passengers baggage and their baggage(if applicable)  Check:  Compare training records and duty roster  Validation of the certification  Appropriately trained personnel for loading/unloading operations  Check: Compare training records and duty	1.4.1 Table 1-4 1.4.2.2	1.5 1.5.A 1.5.0.3			
	and duty roster  Validation of the certification  The Operator deploys appropriately trained personnel for screening passengers baggage and their baggage(if applicable)  Check:  Compare training records and duty roster  Validation of the certification  Appropriately trained personnel for loading/unloading operations  Check:	1.4.1 Table 1-4 1.4.2.2	1.5 1.5.A 1.5.0.3			

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		1.4.2.2	1.5.0.3			
53	Flight Crew have been	1.4.1	1.5			
	appropriately trained on dangerous	Table	1.5.A			
	goods	1.4				
	Check:					
	Validation of the		1.5.0.3			
	certification	1.4.2.2				
54	The Cabin Crew have been	1.4.1	1.5			
	appropriately trained on dangerous	Table	1.5.A			
	goods	1.4				
	Check:					
	Validation of the		1.5.0.3			
	certification	1.4.2.2				
55	System of meeting awareness	1.2.2.2				
	requirements by maintenance staff	1.2.2.3				
	in respect of replacements or					
	unserviceable items.					
	Check					
	Compare training records					
	and duty roster					

## **DETAILS OF UN-SATISFACTORY POINTS**

Listed Sr. No in the Checklist	Details of non-conformity	Target Date for correction

Additional Observations (if any)	
Date of Inspection	
Name of Inspector	
Principal Operator/ Personnel associated during the inspection	
Name & Signature of the Dangerous Goods Inspector	
Name & Signature of the Person responsible for Dangerous Goods Organization Representative	
Signature of the Director of Regulation and Information	